

Sales clerk	Čto vam?	‘What do you need?’
Customer	“Ogonek” i...	‘An “Ogonek” and ...’
Sales clerk	gde vy tut vidite “Ogonek”?	‘Where do you see an “Ogonek” here?’

Such responses are commonplace in colloquial Russian and may even be the norm. Here the clerk’s response is perceived not as an interrogative, but rather as a declarative statement (‘there is no milk’). As Yokoyama points out, the response is received as rude, in large part because a declarative response would in fact be possible. As this example illustrates, the illocutionary force of speech acts is achieved over extended stretches of discourse, not just over adjacency pairs (Clyne et al. 1991).

It is also clear that speech acts differ between cultures and languages; Searle himself (1975) points out that while *Can you hand me that book?* functions as a request in English, the Czech translation (*Můžete mi podat tu knižku?*) is infelicitous. Much of the literature on intercultural communication examines speech acts (see Clyne 1994 for an introduction and overview; see also Platt 1989). An example is provided by Mey (1993: 153) points out that in the same situation, a speaker of American English and a speaker of French could respond somewhat differently:

- (5) a. I’m afraid I didn’t express myself too clearly.
- b. Mais vous ne comprenez pas!
 ‘But you don’t understand!’

Here the idea is that the American might address the issue of misunderstanding indirectly, with a ‘self-correcting’ speech act, while the French speaker makes a declarative statement. Crucially, for the American the French can be interpreted as an insult, although in French (4b) is not insulting. Despite the linguistic and pedagogical importance of this kind of work, we are currently lacking good cross-cultural comparisons of speech acts, and there is a general lack of empirical research in the field of cross-cultural communication as a whole. There are a few comparative studies involving Slavic data, such as Durst-Anderson (1995) on Russian, Danish and English, or

Ronowicz (1995) on Polish and Australian English. But these are just the beginnings of a larger research agenda. Slavic data are particularly timely, and can be approached from two angles. The first is that of elucidating the native Slavic speech act strategies in the homeland, and the second involves examining cross-cultural miscommunications involving Slavs who have emigrated and speakers of other languages.

Gricean Pragmatics. H. P. Grice (1975/1989) argues that conversational participants adhere to what he calls the Cooperative Principle which states, in essence, that the interlocutors have an unspoken agreement to talk cooperatively, in a mutual way, with each contributing to the conversation and speaking on topic. Grice formulates this principle as: Make your conversational contribution such as required, at the stage at which it occurs, by the accepted purpose or direction of the talk exchange in which you are engaged (Grice 1989:26). This idea is further developed in the Maxims of Conversation:

Maxim of Quantity

1. Make your contribution as informative as required.
2. Do not make your contribution more informative than is required.

Maxims of Quality

1. Do not say what you believe to be false.
2. Do not say that for which you lack adequate evidence.

Maxim of Relation

Be relevant.

Maxims of Manner

1. Avoid obscurity of expression.
2. Avoid ambiguity.
3. Be brief.
4. Be orderly.

These maxims of conversation are more informative when, at first glance at least, it appears that one of the conversation participants is not adhering to them, or *flouting* them. For example, in the following excerpt, Speaker B appears to be flouting the Maxim of Relevance:

- (6) 1. A. A vy vot xotite buterbrodik? ‘Do you want a little sandwich?’
2. B. Čto, uže? ‘What, already?’
3. A. Uže vot Anjuša prišla ‘Anjuša has already arrived.’

Here the difficulty is that line (3) does not appear to respond to line (2), which could be expanded to something along the lines of ‘what, is it already time to eat lunch?’ A Gricean approach would go something like this: I (speaker A) assume you (speaker B) to be adhering to the Cooperative Principle, and since you did not directly say that it is time to eat lunch, but did say that Anjuša has arrived, so it must be that her arrival is somehow related to lunch time. In sum, the response is taken to be relevant, and so provides enough information for the addressee to derive an explanation. (Levinson 1993, chapter 3, provides an excellent critical discussion of Gricean pragmatics and implicatures. Israeli 1997 uses the cooperative principle to explain syntactic reduplication (repetition of a word or modified word within the same prosodic unit), but finds the principle too limited to account for all the data.)

Conversation Analysis. Conversation analysis stems from the initial work of a group of ethnomethodologists (notably, Harvey Sacks and Emanuel Schegloff) who began, in the 1960’s, examining what happens in nonscripted, spontaneous speech. They discovered that, contrary to prior claims, conversation is rule-governed; the trick is that the rules look quite a bit different than phonological or syntactic rules. That is to say that we do not have—and probably never will have—a formal set of rules which can generate all and only “correct” conversational structures. That does not mean, however, that conversation is unstructured. Rather, the structure is of a very different nature than that of a clause or sentence, in large part due to the fact that conversation involves not a single speaker but rather two or more speakers. It is mutually developed and the rules for conversation resemble the rules for other social interactions. From this we can derive the

two basic premises of conversational analysis: (1) language is a form of social interaction; and (2) conversational structures are rule-governed.

Thus conversation analysis maintains that verbal interactions are both structurally organized and contextually oriented (Heritage 1984), and a primary goal of the analysis is elucidating these structures and determining how they are interrelated. Conversation analysis is often viewed as being the most “hard core” branch of discourse analysis, and its general approaches and tenets will be convivial to linguists who are oriented toward more formal linguistic approaches. For example, it can be said that each level of linguistic analysis is typically seen as having a “basic unit,” e.g., the phoneme is “basic” in phonology, the morpheme in morphology, and the clause in syntax. Conversation is not different: the fundamental unit in conversation is the turn. Conversation analysis has been extensively involved in examining the organization of turn-taking.

Turns are analyzed as consisting of *turn-constructive units* (TCUs) where a TCU is defined as a “unit-type with which a speaker may set out to construct a turn” (Sacks et al. 1974: 702). Unlike other linguistic units (such as phonemes, morphemes, clauses and phrases), the TCU is a dynamic kind of unit which is jointly created by the interlocutors; in this way it fundamentally differs from other linguistic units. This feature also makes it notoriously difficult to define, because it is both expandable and stoppable, a point which Sacks et al. makes explicit:

The turn-unit is of a sort which (a) employs a specification of minimal sizes, but (b) provides for expansion within a unit, (c) is stoppable (though not at any point), and (d) has transition places discretely recurring with it, (e) which can themselves be expanded or contracted; all of these features except the first are loci of interactional determination. By virtue of this character, it is misconceived to treat turns as units characterized by a division of labor in which the speaker determines the unit and its boundaries, with other parties having as their task the recognition of them. [...] That is, *the turn as a unit is interactively determined*.

[Sacks et al. 1974: 726-27]

The TCU is seen as having an identifiable trajectory or, in other words, conversational participants identify a beginning, middle and end to a TCU. Just how this comes about is a continuing focus of current research in conversation analysis, which addresses issues of how interlocutors project *transition-relevance places* (TRPs).

Although not explicitly stated, Sacks et al. does implicitly treat the turn unit as consisting of one or more syntactic units; they do define it as consisting of “sentential, clausal, phrasal and lexical constructions” (p. 702). Further research has shown that syntactic completion alone is not sufficient in the definition of a TCU; as a look at any conversation will show, a TCU can consist of multiple syntactic units. Both prosody and semantic completion are clearly involved, and more recent research points to the importance of gaze, body movements and gestures in face-to-face interaction.

Turns are organized and co-ordinated in sequences of exchange. An exchange is the basic unit of interaction (Sinclair et al 1972; Coulthard and Brazil 1992:64): it is “basic” because it consists minimally of contributions by two participants and combines to form the largest unit of interaction, the transaction. There is some discussion whether exchanges should be seen as basically organized in terms of two parts (e.g. adjacency pairs such as question—answer) or as consisting of three parts (question—answer—acknowledgment). While it has been suggested that adjacency pairs are the fundamental unit of conversation (Goffman 1976; Coulthard 1977:70), I will treat them as part of a group of “special” turns. Adjacency pairs are “special” in the sense that, by and large, there is a rule governing them: once the first part of the adjacency pair has been produced, the current speaker must stop talking, and the next speaker must produce the second part of the pair. Both two-part and three-part exchanges are found in natural conversation:

(7) two-part exchange: Question—Answer

- | | | |
|----|------------------|--------------------|
| A. | skol'ko vremeni? | ‘what time is it?’ |
| B. | pol pjatogo | ‘4:30’ |

(8) three-part exchange: Question—Answer—Acknowledgment

- | | | |
|----|--|---|
| A. | a ty nikogda ni kurila? | ‘and you never smoked?’ |
| B. | nu net, gospodi,
probovala kak vse probujut tak | ‘well, no, good lord,
I tried it like everyone tries it’ |
| A. | ponjatno (3.0) | ‘I see’ |

There are certain speech acts which are problematic for the interpretation of adjacency pairs as existing of two parts. These include accepting requests (where the second pair part may be missing, if the second pair part must be a verbal response, as opposed to an action), and accepting or refusing invitations. There is evidence that the nature of the speech act/adjacency pair relation differs across different cultures (Platt 1989). Some of this has been investigated in Slavic in relation to politeness strategies (Mills 1992; Suszczynska 1999), but much more research is needed.

Furthermore, adjacency pairs do not always follow the strict ordering anticipated by the rule which governs their use. For example, the pairs in the following excerpt do not follow the sequence Q(uestion)1-A(nswer)1, Q2-A2, but rather Q1-Q2-Q1[A2]-Q3-A3, Q4-A4-A1:

(9) (Zemskaja and Kapanadze 1978:144)

- | | | |
|-------|---------------------------------|---|
| Q1 | A ty oktuda èti slova vzjal? A? | ‘where did you get these words from? ah?’ |
| Q2 | Čto? | ‘what?’ |
| Q1/A2 | Otkuda ty èti slova vzjal? | ‘where did you get these words from?’ |
| Q3 | kakie? | ‘which ones?’ |
| A3 | nasčet spravki | ‘about the certificate’ |
| Q4 | spravki? | ‘the certificate?’ |
| A4 | da. | ‘yes’ |
| A1 | (smeetsja) sam pridumal | (laughs) ‘I made them up myself’ |

While it has been frequently observed that turn changes tend to occur smoothly, without overlap and with no pauses, or at least minimal pauses, it is less clear how that comes to

be or, in other words, how all participants “know” when a turn change will occur. Finally, to take this from the opposite side, why does overlap occur when it does, if the mechanical structure of the conversation functions? These are key questions for conversation analysis. It is important to note that because conversational structure is a central issue for this kind of analysis, it needs to investigate certain topics which are out of bounds for other subfields of linguistics. These topics include eye gaze, body position and gesture, the general speech tempo, and pauses, hesitations and overlaps, and even laughter. Again, in many formal approaches to linguistics, most of these would be relegated to the area of performance, and are just irrelevant to the analysis. But for a conversation analyst, these are issues of central concern.

An example is provided by pauses. Despite claims that the turn-taking structure operates without pauses and overlaps, in point of fact one finds a large number of both in Russian discourse. To date there is no adequate theory of pauses and silence in discourse, and here crosslinguistic information is critical. We do know that the amount of silence, or non-speech time, that is tolerated in a conversation varies greatly in different cultures, with Native Americans being relatively tolerant of silence, and certain Europeans (perhaps Anglo-New Yorkers) less tolerant. How long can a pause in conversation be and still be a pause, as opposed to a period of silence? Jefferson (1989) shows that a period of one second is a “standard maximum” period of silence in American English conversation. If we turn to pauses in Russian conversation,⁸ two things are immediately clear: (1) pauses do in fact occur at the end of turns; (2) pauses—even relatively long ones—can be found turn-internally. In (10), shorter pauses (less than one second) occur turn-internally, while pauses of longer than 1 second are found at TRP’s, suggesting a correlation between pause length⁹ and the turn-taking structure:

- (10) 1. A. a god u nas živet kot (0.51)
2. i každyj raz kogda na nego sps- nu straxoljud (0.77)

⁸ These excerpts are transcribed according to the standard conventions of conversation analysis, given in Jefferson (1979), with one exception: curly brackets { } are used in glosses to differentiate ellided material from overlap. Following convention, square brackets [] are used to indicate overlapped speech, = indicates continuing speech, and ??? indicate incomprehensible speech.

⁹ Pauses and pitch track for these excerpts were measured on a wide band spectrogram with the Computerized Sound Lab by Kay Elemetrics.

3. nu strašnyj (0.62)
 4. ryžij takoj oj morda naglaja (0.69)
 5. boka vpalye (0.22)
 6. šerst' vot tak vot [???] oj (0.84)
 7. B. [xorošij]
 8. A. vsja otrava (1.56)
 9. B. kak ona tak ljubimogo kotik[a
 10. A. [ja ego obožaju=
 11. =ja ego s'est' gotova (1.13)
-
1. A. the cat has been living with us for a year now
 2. and every time {you look at} him..well, he's a monster
 3. well ugly
 4. he's red, oj, with an insolent mug
 5. his sides are sunken in
 6. his fur's like this, [???] oj
 7. B. [good]
 8. A. pure poison
 9. B. how can she {talk like that about} her beloved c[at
 10. A. [I adore him=
 11. =I'm ready to eat him up

However, an excerpt from another conversation demonstrates that pause length alone does not suffice to account for the placement of turn transitions:

- (11)
- 1 A mamočka no ty mne pomožeš'
 - 2 ja grju (1.54)
 - 3 kak ja tebe pomogu
 - 4 ja ni odnogo angličanina ne znaju (1.15)
 - 5 B to est' tebe nužno { ... }

- 1 A ‘mama, but will you help me?’
2 ‘I say’
3 ‘how can I help you?’
4 ‘I don’t know a single Englishman’
5 B ‘So you need {...}’

Here we see that the relatively lengthy pause of 1.54 seconds at the end of line 2 is not interpreted by the addressee as occurring at a TRP; it is not until after line 4 that the addressee begins to speak. Clearly, the lack of syntactic and semantic completion plays a role in the interpretation of this first pause; a change of speakers at this point would leave something unsaid. Moreover, the distribution of these pauses is directly related to the information structure of the discourse: the text is segmented into what Chafe (1994: 57–70) calls *intonation units*, where approximately one chunk of new information is found in each unit, and each unit acts as a prosodic unit. Although Chafe’s definition of an intonation unit refers to the perceptual qualities of intonation, acoustic measurements of each of these excerpts also show a declination of fundamental frequency over each “unit” as segmented here.

A final point should be made with regard to the overall methodologies of conversation analysis, and that is that because it investigates actual speech, studies are generally based on talk which occurs in very particular settings. For example, early work looked at transcripts from a suicide crisis center (Sacks 1972) or calls to the police (Schegloff 1968). This in part stems from the history of conversation analysis, deriving from ethnomethodological work in language and language use. But in large part it also stems from the recognition that the setting and context can and do influence conversational structures. Still, as Schegloff himself emphasizes, this in no way entails that the resulting analysis pertains only at the microlevel of very specific speech settings. Rather, general rule that apply to the description of global levels can be extracted; the elucidation of the overall structural organization of conversation with work done “*in service* of the situated particulars of the originating data, *not in contrast* to them” (Schegloff 1999:144; original emphasis). In his position paper on the future directions of conversation analysis, Schegloff (1999) calls for research aimed at identifying how

conversation is organized at a more global level of interaction. I take this to strongly support research on languages other than English, and to support contrastive conversation analysis. In order to answer the global-level questions which Schegloff poses, we need more data to enable us to identify what is culture specific, and to determine cross-linguistic, cross-cultural rules of conversation.

Future Directions in Conversation Analysis. A number of issues are central to current research in conversation analysis, and studies of all the Slavic languages would do much to inform current theories and to establish future research goals. One issue is the role on non-linguistic features in the turn-taking structure of conversation. Fox et al. (1996) argue that points of possible turn completion are determined on the basis of both sequential location and “interactional import,” by which they refer to the pragmatics of the utterance sequences. Their study shows the TCU to be determined by a “constellation” of gesture, gaze and body position, in combination with syntactic and semantic completion and prosody. This study is based on American English and, as they themselves point out, comparative research is needed on other languages. Predicting that in general their findings will apply to other languages, they also suggest that exactly how these linguistic and non-linguistic strategies are used in turn-taking may vary from language to language. More recently, their work has been further developed to include questions of grammar, grammaticization and conversation, including investigations of whether linguistic theories should take into account the role of semiotic modalities such as gesture and gaze in determining language-based categories such as reference (Ford et al. 2002). Second, in a special issue of *Research on Language and Social Interaction* (1999, 32/1–2) devoted to the topic of future directions for research, Heritage points to the need for more quantitative analyses in CA and makes the prediction that such work will play a critical role in the development of the field. Again, Slavic data would be very helpful here.

The role of intonation in conversational structure itself remains to be fully understood for all languages, and very little research has been done on Slavic prosody from this perspective (see also §3.1). It is well known that word order is in large measure discourse-determined, and that there is a strong link between word order and intonation (Yokoyama 1986). Considerably less is known, however, about how all this comes

together in conversation. Key issues include not only an expansion of the work on intonation and information structure, but also particular attention to such questions as determining the relationship between intonation and TCUs, interruptions, overlaps. It is clear that there is general downdrift of F0 over the course of a turn, with F0 reset occurring at the beginning of the new TCU,¹⁰ and that a misinterpretation of prosodic cues can account for at least some instances of overlap (Wells and Macfarlane 1998). Exactly how prosody functions over the course of long turns, and over the course of long conversations is unclear. It is uncertain to what extent downdrift plays a role in signaling an upcoming TRP to the interlocutor(s), and to what extent such other factors as syntactic and semantic completion are involved.

Relevance Theory. Relevance Theory was developed by Sperber and Wilson (1986), at least in part as a reaction to Gricean Pragmatics, stemming from the idea that Grice's Conversational Principle leaves many basic questions about human communication unanswered. For example, Grice postulates the Maxim of Relevance (*be relevant*; §2.1) as fundamental to the conversational principle, but does not actually define what relevance is. Sperber and Wilson (1986) develop a theory of relevance which centers around the claim that such relevance is grounded in human cognition; their goal is to identify a set of mental processes which are encapsulated by this notion of relevance and to show how these come into play in ordinary language usage. The essential claim of Relevance Theory is relatively straightforward: in any given context, the addressee assumes that what the speaker is saying is relevant. That is to say, the utterance is expected to be relevant, and conforms to a general relevancy principle. In the postface to the second edition of their founding work, Sperber and Wilson (1995:260-1) address certain confusions arising from their initial formulation of a single relevance principle but restate the original claims in terms of two principles:

(1) *The First (Cognitive) Principle*

Human cognition tends to be geared to the maximization of relevance.

¹⁰ There is a large body of literature on this topic for English: see especially Couper-Kuhlen 1993, 1996; a variety of articles in Couper-Kuhlen and Selting 1996a; Schuetze-Coburn et al. 1991. *Language and Speech* (1998, 41/3-4) is a special (double) issue devoted to the topic of prosody and conversation.

(2) *The Second (Communicative) Principle*

Every act of ostensive communication communicates a presumption of its own optimal relevance.

It is this second, communicative principle which was defined as the Relevance Principle in the original edition of this work (renamed here because of misunderstanding which resulted from some equating the Cognitive Principle with the Relevance Principle). Sperber and Wilson argue that the relevance principle is “more explicit” than Grice’s cooperative principle and maxims (1995:161).

Relevance theory is useful in analyzing those kinds of linguistic items and strategies which are more pragmatic than lexical or syntactic in nature; it has much to say about why a particular entity is chosen by the speaker. That is to say, it works well with entities that can only be understood with reference to the communicative situation. These includes a broad range of devices, such as discourse particles and markers, parentheticals, modality:, as well as such aspects of communication as humor, irony, metaphor and implicature. In order to understand how Relevance theory might be useful, we can briefly examine its application to discourse particles. It has been applied to studies of particles in a number of languages, such as English, Greek, Hebrew and Japanese, to name a few.¹¹ The use of particles can be explained in terms of the different kinds of meaning they encode: there is a distinction between *conceptual* and *procedural* meaning (Blakemore 1987). Briefly, utterances with conceptual meaning have propositional value and affect truth conditions. Items with procedural meaning do not have propositional content; they say more about how information is to be processed or situated. Some Russian discourse particles (such as *k tomu že, značit*) carry both conceptual and procedural meaning, while others (such as *že, -to*) carry procedural meaning only.

The usefulness of this approach can be illustrated with a sample analysis of the Russian particle *ved'*. In an approach that is representative of earlier work on particles, Vasilyevna (1972:46) classifies *ved'* with other polysemantic particles, asserting that its “principal function is to emphasize the obviousness of a fact or truth contained in an utterance.” It is not clear why *ved'* is “polysemantic,” if this can be seen as its principal

¹¹ See the collection of articles in Rouchota and Jucker (1998).

or perhaps even invariant meaning, but she does continue to provide a taxonomy of six uses of *ved'*, given here in abbreviated form, stating that it:

- (1) lends the idea expressed the tone of an argument requiring no proof;
- (2) may emphasize the significance of a fact or the importance of an utterance;
- (3) is used in general questions when the speaker tries to prompt his interlocutor to give the answer he wants to hear;
- (4) is used for emphasis in expressing a prediction, warning or reproach;
- (5) emphasizes the unexpectedness of finding out a fact, the discovery of a plain truth, the detection of a hidden contradiction, etc., or expresses a guess:
- (6) in rhetorical questions is used merely to draw the interlocutor's attention to the thought which is to follow and to which the particle actually refers.

(Vasilyevna 1972: 46-50)

These definitions fall short in two crucial ways: on the one hand they fail to capture an important generalization about the use of *ved'*, while on the other hand they do not provide enough information as to how to use the particle felicitously. These shortcomings stem from a failure to examine how *ved'* operates with the information structure of the text, and by failing to analyze it within a clearer theoretical framework. While such taxonomies such as the above may be useful for lexical items which have unambiguous referential value, they cannot adequately account for items which carry procedural meaning; the distinction between conceptual and procedural meaning is not recognized. In the following excerpt, we see the use of *ved'* to link information from the previous discourse to that of the upcoming discourse:

- (12) Èto lučšaja situacija po sravneniju s prošlym godom, no, v kakom smysle, tjažselo skazat'. Dlja bednyx, konečno, lušše bylo togda, oni lučše v očeredi postojat dva časa, no kupjat deševo, a dlja ostal'nyx lučše sejčas, naverno,

→ **ved'** rabotajut u nas po nočam vozle metro èti kioski, kotorye prodajut importnyje tovary tam, vypivku, edu, zakusku, a ... pokupajut vse ravno, pokupajut.

(Moscow, 1992)

'It's a better situation compared to last year but, in just which sense, it's hard to say. For the poor, of course, it was better then—they're better off standing in line for two hours but buying cheaply, but for the rest of us it's better know, probably,

→ **After all** those kiosks are open at night by the metro, the ones that sell imported goods, drinks, foods, a bite to eat, and ... people buy [things] anyway, they buy them...'

In the first three lines of this excerpt, the speaker is talking about the relative standard of living in Moscow after the downfall of Communism. She appears to change topics at the end of line 3, when she says that the kiosks work all night. What *ved'* does here, to oversimplify a bit, is to signal to the interlocutors that this is not a change of topic at all, but rather relevant to the discussion of the standard of living. It fulfills this function through a combination of its conceptual and procedural meanings. As is known, this particle is etymologically related to the verb *vědati* 'to know' and so has conceptual meaning: it signals knowledge of some kind. It also carries procedural meaning: it asserts that the information is relevant to the current discussion. In so doing, it provides a link between the prior discourse and upcoming discourse, but it is more than a conjunction: it is a signal of relevance.

This is not presented as a complete analysis of this particle, but rather as a sketch of an outline of how that analysis might proceed in Relevance Theory. This brief sketch runs the risk of implying that *ved'* has not been examined elsewhere, which is not the case. For more complete studies, see Bonno and Kodzasov (1998) and Paillard and Markowicz (1986).

The Transactional Discourse Model. In a framework which she has labeled the Transactional Discourse Model (TDM), Yokoyama (1986) proposes a model of discourse where the minimal communicative unit is made up of four components: the two interlocutors (A and B) and their "matters of current concern" (C_a and C_b). A's and B's

knowledge sets, and their respective sets C_a and C_b , of matters of current concern; are represented with circles in TDM. The intersection of these sets (joint knowledge, shared concerns) is iconically represented by a Venn diagram. The model is designed to describe and account for the transfer of knowledge; Yokoyama is careful to distinguish propositional, referential and predicational knowledge, which she defines as “the knowledge that an abstract predicate is about to be specified by the proposition verbalized in A’s forthcoming utterance” (1999:414).

The Transactional Discourse Model is the one model to discourse by an American Slavist, coming directly from the American Slavic tradition. Although it has been applied effectively by Yokoyama and a number of others (see, for example, Moon 1995; Robblee 1991; Zaitseva 1994, 1995), to the best of my knowledge it has not been used by other (non-Slavic) linguists. Its lack of recognition outside of Slavic studies may stem from the fact that TDM has much in common with Relevance Theory, which has gained widespread recognition. Integral to TDM is the notion of shared knowledge sets and an intersection of common concerns which is, in principle, largely in agreement with the foundations of Relevance Theory. Specifically, it is based on the premise that the explanation of many discourse phenomena (such as word order) can only be found with reference to the psychological states of the interlocutors. In this the model has much in common with other theories of information structure (§3.2).

Future Directions. In the above discussions of different theoretical approaches to discourse analysis, a number of different areas for future research by American Slavists have been identified. In this section I discuss two major topics which are currently of great interest in general linguistics, intonation in discourse and information structure. Both of these have been singled out because their study potentially involves a number of different subdisciplines in linguistics. The results of this research are of interest to a broad range of linguists.

Intonation in Discourse. Intonation in discourse has become a major topic in linguistics over the last few years. The timing on this stems from a number of events which more or less coincided: (1) large theoretical advances in both the study of intonation and of discourse, meaning a broader research base and a much larger array of theoretical tools for analysis of both; (2) significant technological advances, in particular

in terms of computer software, which has simplified the instrumental measurements of the sound waves and greatly increased accuracy.¹²

Intonation has been studied from two essentially different views: the acoustic approach measures intonation in terms of changes in fundamental frequency (F_0), while the perceptual approach relies on auditory perception, and intonation can be defined in terms of pitch. Changes in F_0 can be tracked through conversation with reference to a declination unit (DU), a term which refers to the downdrift phenomenon of F_0 over the course of speech (Cohen and 't Hart 1967; see Ladd (1993) for discussion). In terms of both kinds of work—acoustic and perceptual—much work is needed in Slavic. Sentential-level intonation has been studied for a number of Slavic languages, most primarily Russian (see Odé 1987, 1988, 1988, 1990; Yokoyama 1985, 1986), and there has been significant work on Czech and Polish. Yokoyama (1986) is particularly successful in applying Pierrehumbert's framework to Russian. Odé (2003) develops a model for Russian intonation based on earlier work on Dutch, rejecting the Bryzgunova IK system.

The study of intonation in Slavic discourse is largely unstudied. The groundwork is in place, in particular as laid out by Yokoyama (1986). (See also Schallert 1990 for a brief introduction to the use of intonation in monologue.) One very important is the role of intonation in information structure. Here Slavic is of course particularly interesting in terms of the role of intonation and word order. Another aspect involves questions of intonation and speech acts, including the role of intonation in determining speech act type and illocutionary force. Intonation in Russian, for example, determines differences between declarative sentences and interrogatives. But from a discourse perspective intonation will provide many more clues in terms of interpretation of illocutionary force in such examples as (3), where the intonation of *is* morphosyntactically a question aids in the interpretation of it as a representative illocutionary act (with the speaker asserting that there is no milk).

¹² There are a number of different computer programs for analyzing sound waves. One of the most widely used now is Praat, at <http://www.fon.hum.uva.nl/praat/>. Conversation analysis in particular favors the use of video, not just sound recordings. Recent developments in software include programs which are designed to include a variety of media including video, sound clips, text with interlinear glosses, and so on. A good and up-to-date discussion of software can be found at the Tool Room of the E-Meld School of Best Practices website, <http://emeld.org/school/classroom/software/index.html>.

Information Structure. Much of the research on Slavic discourse has focused on information structure. The term *information structure*, or *information packaging*, is used to refer to various ways in which information, which includes propositional information as well as real-world knowledge, is linguistically encoded. That is, information structure examines how information is “packaged,” or linguistically encoded, and why one or another structure might be selected to convey a given chunk of propositional knowledge. From this view, then, one asks why a passive construction is used instead of an active one, for example: in both constructions the propositional value is arguably the same, with agent/patient relations unchanged; what differs is the information structure. Word order differences provide prime examples of information packaging in Slavic. Note that this approach to information structure differs fundamentally from some of the other approaches outlined here. If we consider Gricean pragmatics, for example, one needs to account for why a sentence like *uže vot Anjuša prišla* ‘Anjuša has already arrived’ [line 3, (5)] can be used in answer to the question *Is it already time to eat?* In analyzing information structure, we are concerned with the conditions under which certain structures are felicitous, and when they are not, and what they say about the packaging of propositional content.

Areas of special interest in information structure are topic/comment, focus, grounding, transitivity (as defined by Hopper and Thompson 1980), givenness, reference and anaphora, deixis, and so on. These can be addressed either from function to form (from the stance of the information structure), or from form to function (from the linguistic encoding to its function). An example is provided by the study of grounding and transitivity, versus the study of aspect in the text. (For the use of aspect in grounding, see Chvany 1985a, 1985b; for a brief discussion of the form/function issue, see Nichols 1985.) Importantly, there is an entire range of linguistic devices and structures which have to do with the varying means for encoding information and relating it to the larger discourse as a whole. This latter point is crucial, that information status is determined by the position in the discourse and so, from the point of the view of the discourse analyst, such entities as topic and focus cannot be studied based on isolated sentences. As with intonation, the study of information structure cuts across a number of subdisciplines of linguistics, and so is of interest to a range of linguists. While certain topics—such as

reference, deixis, and definiteness—overlap with pragmatics and the philosophy of language, much of information structure is territory shared with cognitive linguistics and cognitive science. In fact, the two disciplines (cognitive science and discourse analysis) may approach the same issues in language data but from different angles, and the results of each approach inform the other. An example is provided by discussions of givenness, definiteness and recoverability. In a now classic study, Haviland and Clark (1974), tested pairs of sentences such as the following:

- (13) a. Mary got some beer out of the car
b. The beer was warm.
- (14) a. Mary got some picnic supplies out of the car.
b. The beer was warm.

They found that comprehension times for the target sentence *The beer was warm* were shorter when the context in (12) is supplied, and longer when (13) is supplied. This can be explained in that *beer* is explicitly given in (12), while in (13) the addressee must go through an inferential process (what Brown and Yule 1986: 257 call a *bridging assumption*) whereby *beer* is construed as part of the picnic supplies. It can be further noted that beer in 12b) can be pronominalized (and probably would be in natural speech), while it cannot be pronominalized in (13b). But the basic point here lies not in the details of the analysis, but rather in the fact that data coming from psycholinguistic perceptual experiments can do much to validate what may otherwise appear to be intuitive interpretations on the part of the analyst. Moreover, discussions of information structure quite naturally and inevitably relate to mental states, as any discussion of accessibility, topicality, givenness, and so on at least presuppose the accessibility of referents by the interlocutors. It is not without reason that some recent major works in this field include overt reference to mental processes in the title, such as *Discourse, Consciousness, and Time* (Chafe 1994) or in the subtitle of Lambrecht (1994): *Topic, focus and the mental representations of discourse referents*. In fact, Lambrecht's definition of information structure includes specific ties with mental states and representations:

INFORMATION STRUCTURE: That component of sentence grammar in which propositions as conceptual representations of states of affairs are paired with lexicogrammatical structures in accordance with the mental states of interlocutors who use and interpret these structures as units of information in given discourse contexts.

Lambrecht (1994:5)

Lambrecht's book presents a ground-breaking view of information structure.¹³ Arguing against the traditional view that information can be segmented into 'old' and 'new' parts which are mapped onto the syntax, he sees information as a property of denotata, not of lexical items and/or syntactic constituents. This has serious consequences for the definitions of topic/comment and focus: topic cannot be identified with 'old' information and focus cannot be identified with 'new'. Moreover, with this view of information as a foundation, Lambrecht is able to argue that information is a separate level of linguistic representation. He does not define how this information-structure component is to be integrated into existing theories of linguistic analysis, but explicitly states that his goal is to lay the theoretical groundwork which will make such an integration possible. From that standpoint, Lambrecht has clearly defined a goal for future research. Ultimately, a comprehensive theory of how the information-structure component fits into linguistic analysis is a job of mutual cooperation between linguists working in a number of subfields: discourse, semantics, syntax and phonology.

Conclusion: Discourse Analysis and Slavic Linguistics. American Slavists are well-positioned for research in discourse. The discourse analyst must know the language of analysis very well; while it is possible for one person to study the syntax or phonetics of a range of languages, aiming at typologies and universals, without knowing these languages fluently, this does not hold for discourse analysis. A thorough knowledge of the language, of its cultural contexts, register and stylistic variations, is crucial. For this reason, little work has been done in the area of contrastive discourse analysis, and most

¹³ I would advise readers to approach Lambrecht's book by first reading a comprehensive review article of it (Polinsky 1999), which provides an excellent overview.

of it centers around English compared to another language. Examples include Jovanovic and Martinovic-Zic (2004), which discusses English and Serbo-Croatian, and Slobin (2004), which analyzes Russian, Serbo-Croatian, and Ukrainian, along with English and several other languages. To the extent that the aim of linguistics in general is the elucidation of typologies and universal grammar, contrastive discourse analysis between closely related languages (such as two languages on the same branch in Slavic, or from two different branches) would do much to inform what little knowledge we have of discourse universals.

That said, few linguists seem to be engaged in this kind of research. A survey of key journals devoted to discourse analysis and pragmatics shows little work on Slavic languages, both by Slavists and non-Slavists, and American Slavists are minimally represented. Based on article titles, *Pragmatics* 1990–to date has only a handful of articles on Slavic languages: one on Bulgarian (Choi 1997); one on Polish (Galasinski (1997); one on Serbian (Miskovic 2001); and two on Russian (Grenoble 1995; Rathmayr 1999). The *Journal of Pragmatics* shows roughly the same picture, with six articles on Russian (Grenoble 2004; Grenoble and Riley 1996; Israeli 1997; Kresin 1998; Yokoyama 1994; Zaitseva 1994); two on Bulgarian (Fitneva 2001; Tchizmarova 2005) and one on Croatian (Dedaic 2005). This survey is overly harsh, as journals such as *Voprosy jazykoznanija* regularly have articles that fall under the rubric of discourse analysis and much work is being done in a variety of frameworks by Czech linguists, in a continuation of the Prague functionalist tradition. Work is also appearing in edited volumes (Chruszczewski 2004a, 2004b), although many of these are difficult to obtain.

Throughout this discussion I have indicated areas needing research both in terms of individual theoretical approaches to discourse, and in terms of a more-issue oriented discussion. It should be clear that there are a great many topics in need of research, essentially all of discourse analysis stands wide open. But for the work of American Slavists in this field to be successful and influential, it must meet certain requirements. First of all, it must be framed in a way that is both accessible and of interest to other discourse analysts. It must use current terminology, current methodologies, and current theoretical frameworks. Moreover, it must address the larger issues which will interest other analysts, by providing data that will have an impact on linguistic theory, or by

providing data for cross-linguistic comparison. It is the mission of Slavic linguists not only to give good descriptive analyses of Slavic data, but to inform linguistic theory.

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